

12-10-01

A

12/06/01
1129 U.S. PTO

Practitioner's Docket No. 782_204

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202** on December 6, 2001 under "EXPRESS MAIL" mailing label number **EL650149108US**.
Elizabeth A. VanAntwerp
Elizabeth A. VanAntwerp

10/010945
12/06/01

Transmitted herewith for filing is the patent application of:

Inventor 1: Tomohiko SHIBATA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 2: Yukinori NAKAMURA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 3: Mitsuhiro TANAKA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Title: A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS FOR FABRICATING THE SAME

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 11 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 5 Sheets of Formal Drawings (Figs. 1-6)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data Sheet
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

10010945 120601

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
☒ A Claim for Priority and certified copies of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2000-377,547	December 12, 2000
Japan	2001-340,945	November 6, 2001

- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
 2-56, Suda-Cho, Mizuho-Ku
 Nagoya, Aichi-Prefecture 467-8530
 Japan

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
 Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

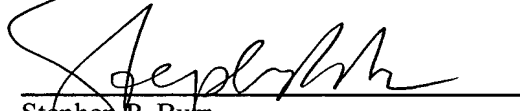
5. The filing fee has been calculated as shown below:

Basic Filing fee (37 CFR §1.16(a))--\$740.00				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	12 - 20 =	0	× \$ 18.00	
Independent Claims	2 - 3 =	0	× \$ 84.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	
Surcharge fees (37 C.F.R. 1.16(e))--\$130.00				
<input checked="" type="checkbox"/> late payment of filing fee and/or				\$ 130.00
<input checked="" type="checkbox"/> late filing of original declaration or oath				
TOTAL OF ABOVE CALCULATIONS =				\$ 870.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
SUBTOTAL =				\$ 870.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d))--\$130.00				\$.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))				\$ 40.00
TOTAL FEES DUE =				\$ 910.00

**6. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
☐ The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit Account No.
50-1446. A duplicate copy of this sheet is enclosed.
☐ Any additional filing fees required under 37 CFR 1.16.
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

December 6, 2001

Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320